

OREGON DEPARTMENT OF TRANSPORTATION

2006 PRIME CONTRACTOR PREQUALIFICATION APPLICATION

Effective March 1, 2006 through February 28, 2007

Submit application and make check payable to :			ning r	- · · · ·	
			FS	B Date	Initi als
Oregon Department of T					
ODOT Procurement Office -					
455 Airport Road SE, Bldg K	, salem OR 9/301-5348				
Phone (503) 986-6918	W/ODOT/CS/ODO/ssss	truction/frontnoss	chtml		
Web Site: www.oregon.go	DV/ODOT/CS/OPO/cons	struction/frontpage	<u>.snumi</u>		
. Date	Valid Through:	02/2	28/2007		
Application of	Legal Business Nam	e (Complete Section 9)			
	Assumed Business	Name(s) (Complete Sect	ion 6)		
List previous business na	ames of your organizatio	n			
Business Structure (Chec	k one): F. Purno	ose of Application (0	heck all that a	apply): (F	Required)
Required)		oce of Application (C	mook an that t	.pp.y/. (oquii ou)
Individual Sole Proprietorship		Γ Projects			
General Partnership	1 st	anticipated bid ope	ning date		
Corporation		Government Brain	octs		
S-Corporation		Government Project anticipated bid one			
Limited Partnership (LP) Limited Liability Company (LLC)	IST	articipated bid ope	1st anticipated bid opening date		
		Other Government Projects			
Limited Liability Partnership (LLP)	Government Proj	ects		
Limited Liability Partnership (LLP)	Government Project anticipated bid ope	ects ning date		
	Other	Government Project anticipated bid ope	ects ning date		
Limited Liability Partnership (LLP)	Government Project anticipated bid ope	ects ning date		
Limited Liability Partnership (LLP Joint Venture Address: (Required)	1 _{st}	anticipated bid ope	ning date		
Limited Liability Partnership (LLP	1st O (for courier use)	anticipated bid ope	ning date		
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Limited Liability Partnership (LLP Doint Venture Address: (Required) hysical address, city, state, zip ailing address, city, state, zip hone -mail ompany e-mail where important in RECEIPT DATE #1	Test O (for courier use) Fax Continotices should be sent) RECEIPT DATE #2 FOR OFF	act person RECEIPT D FICE USE ONLY ATE / INIT	ATE #3		RECEIPT DATE #4
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Introductory Statement:

In accordance with the statutes of the State of Oregon, every public contracting agency contemplating receiving bids for and awarding any contract for a public improvement may require any prospective bidder *(herein referred to as applicant)* to submit a full and complete statement concerning their equipment and experience in constructing public improvements. The Oregon Department of Transportation (ODOT) requires prequalification under OAR 734, Division 10, and is the public contracting agency in this instance utilizing this prequalification application and prequalification process. Further references to "public contracting agency" throughout this application are intended to be references to ODOT.

The application and questionnaire forms which are bound herewith comply with the requirements of public contracting rules and must be used in determining the qualifications of applicants and in assigning limits as to the size and kinds of projects for which the applicant may submit bids.

The applicant should use care and integrity in preparing this information. The public contracting agency may make independent inquiries concerning the contractor's past performance and capabilities.

Manner of Preparing and Filling in Forms:

This application shall include equipment and experience information for only the specific single business organization or entity which is applying for prequalification and which would be the signatory on a contract with the public contracting agency.

All answers and other entries on the forms, except signatures, should be filled in on a typewriter or printed. To make this possible, the forms may be taken apart by removing the staples by which they are bound. It is the responsibility of the applicant to return all pages whether applicable or not. Failure to do so may be grounds for rejection.

All answers and entries must be specific and complete in detail.

The prequalification application must be signed by the applicant and sworn to as the form indicates. The signatory of the statement guarantees the truth and accuracy of all statements and of all answers to questions.

The original signed application must be sent to the Public Contracting Agency. Photocopy or fax signatures will not be accepted.

OAR 734-010-0240 (2) Prequalification applications must be received at ODOT's address shown in the prequalification application at least 10 calendar days before the bid opening in which the applicant wishes to participate. **OAR 734-010-0240 (4)** The date on which all required information has been received by ODOT Procurement Office - Construction will be considered the receipt date of the pregualification application.

Use of Attachments:

Schedules, reports and other forms of prequalification statement may be used as attachments to the prescribed form, provided that the information contained therein specifically includes the information required by this form.

Place of Submission:

Pregualification applications shall be submitted to the designated office of the public contracting agency.

Time of Submission:

Each Public Contracting Agency may have specific time requirements for filing applications. The applicant should check with the designated office of the applicable agency for submission time.

Appeal Due to Denial or Revocation of Prequalification:

In case the applicant's application for prequalification is denied or in case an existing prequalification is revoked, the applicant may appeal the denial or revocation in accordance with the rules adopted by the Department of Administrative Services or the appropriate local contract review board.

Notification of Action Taken:

The applicant will be notified, in writing, of the action on their application. Applicant will then be allowed to bid on such projects as are within the limits of size and kind of work for which applicant has been declared qualified.

Period During Which a Qualified Applicant Remains Qualified:

An applicant who has been notified of prequalification for projects of a given size and kind will usually remain qualified until the date specified in the notification. The public contracting agency may limit prequalification approval to individual public improvement projects. Unless such applicant is otherwise notified by the public body the applicant will be permitted to submit bids for any and all projects of said kind and size for which bids are to be received. The public body may from time to time require new or revised prequalification applications and have them approved prior to allowing a bid.

Requirement of Continuing Prequalification:

Applicants who have once been qualified with an agency requiring prequalification and who desire to maintain an uninterrupted prequalification standing are required to submit a new application periodically as required by such agency. Uninterrupted prequalification is contingent upon favorable action on the application. A prequalification may be revoked under the provision of ORS 279C.430.

Changes:

Requests for revision of the prequalification standing of any applicant will be considered whenever the applicant can make a showing of materially improved ability, but not more often than once in three months. Major changes must be submitted with a new prequalification application. Minor changes may be submitted by addendum to the public contracting agency. Minor changes include, but are not limited to, company name, adding or deleting classes of work. Contact the Public Contracting Agency for specific procedures when there are changes to the information submitted in the application.

With or without a request from a prequalified applicant, the prequalification limitation on class of work or size of project MAY be reviewed and increased or decreased as found appropriate. The prequalified applicant will be notified in writing of any such revision.

Joint Venture:

A public contracting agency may adopt special requirements concerning joint ventures. Before submitting a joint venture application, an applicant should ascertain if special instructions are applicable and obtain them from the designated public officer.

Jurisdiction:

ORS 279C.430 (2) states: When a contracting agency permits or requires prequalification of bidders, a person who wishes to prequalify shall submit a prequalification application to the contracting agency on a standard form prescribed under subsection (1) of this section. Within 30 days after receipt of a prequalification application, the contracting agency shall investigate the applicant as necessary to determine if the applicant is qualified. The determination shall be made in less than 30 days, if practicable, if the applicant requests an early decision to allow the applicant as much time as possible to prepare a bid on a contract that has been advertised. In making its determination, the contracting agency shall consider only the applicable standards of responsibility listed in ORS 279C.375 (2)(b). The agency shall promptly notify the applicant whether or not the applicant is qualified.

Nonresident Bidders:

ORS 279A.120 (3) states: When a public contract is awarded to a nonresident bidder and the contract price exceeds \$10,000, the bidder shall promptly report to the Department of Revenue on forms to be provided by the department the total contract price, terms of payment, length of contract and such other information as the department may require before the bidder may receive final payment on the public contract. The contracting agency shall satisfy itself that the requirement of this subsection has been complied with before the contracting agency issues a final payment on a public contract.

The form referred to is the Application for Final Payment Release. It is available for download from the Department of Revenue web site at: http://www.oregon.gov/DOR/BUS/docs/102-050.pdf

NOTICE: APPLICANT MUST ANSWER ALL SECTIONS AND QUESTIONS IN THIS APPLICATION. FAILURE TO DO SO WILL RESULT IN THE APPLICATION BEING RETURNED TO THE APPLICANT FOR COMPLETION.

BUSINESS STRUCTURE: (Required) Complete section 1, 2, 3, 4, or 5 as applies

1 If an Oregon corporation, answer these sections	: NA
When Incorporated	
President	Secretary
1st Vice President	Treasurer
Performance Bond, and Payment Bond. However, if contracts and bonds, furnish a certified, true and corre	ect copy of corporate bylaws or minutes stating that sor minutes must include the authority to sign without the
Printed names, titles and signatures of personnel auth	orized to EXECUTE CONTRACTS:
Printed name of President	Signature
Printed name of Secretary	Signature
Printed name of 1 _{st} Vice President	Signature
Printed name of Treasurer	Signature
Corporate Bylaws or Minutes Submitted: (Check on	
Printed names, titles and signatures of personnel aut	thorized to EXECUTE BIDS:
Printed name and title	Signature

(Additional documentation may be required by the public contracting agency)

2 If a general partnership, answer these sections:	□ NA
Date of Organization	
If a foreign (out of state) co-partnership or persons engaging domiciled within this state, is the partnership or business organi. Oregon Revised Statutes? Yes No	
Names and addresses of partners:	
If the Contractor is a partnership or limited liability partners	ship, an authorized representative of each Entity
comprising it shall sign the Contract, Performance Bond, a lf only one partner is signing, then bylaws or minutes must signature of others.	and Payment Bond, and an authorization to sign shall
Printed names, titles and signatures of partners authorized to	EXECUTE CONTRACTS:
Printed name of partner	Signature
Printed name of partner	Signature
The state of the s	
Bylaws or Minutes Submitted: (Check one) Yes	No
Printed names, titles and signatures of personnel authoriz	zed to EXECUTE BIDS :
Printed name and title	Signature
Printed name and title	Signature
Printed name and title	Signature
Printed name and title	Signature

(Additional documentation may be required by the public contracting agency)

3 If a foreign (out of state) corporation, answer thes	se sections: NA		
When Incorporated			
President	Secretary		
1st Vice President	Treasurer		
If the Contractor is a corporation, the President and the Standard Performance Bond, and Payment Bond. However, if other and bonds, furnish a certified, true and correct copy of coone officer is signing, then the bylaws or minutes must into others. The successful Bidder shall also include the title(s) or	er corporate officers are authorized to execute contracts or porporate bylaws or minutes stating that authority. If only clude the authority to sign without the signature of		
Printed names, titles and signatures of personnel authorize	zed to EXECUTE CONTRACTS:		
Printed name of President	Signature		
Printed name of Secretary	Signature		
Printed name of Vice President	Signature		
Printed name of Treasurer	Signature		
Corporate Bylaws or Minutes Submitted: (Check one)	Yes No		
Printed names, titles and <u>signatures</u> of personnel author	ized to EXECUTE BIDS :		
Printed name and title	Signature		
Printed name and title	Signature		
Printed name and title	Signature		
Printed name and title	Signature		
Name and address of registered agent in Oregon:	J. Signature		
Date of authorization by Oregon Secretary of State to transact business in Oregon: Sec. of State's phone: 503-986-2200 Web site: www.filinginoregon.com/index.htm			
Has applicant filed with Oregon Department of Revenue ☐ Yes ☐ No DOR's phone: 503-378	(DOR) forms required by ORS 279.021? -4988 and web site: www.dor.state.or.us		

(Additional documentation may be required by the public contracting agency)

4 If a limited liability company, limited liability partn	ership or a limited partnership indicate below: NA
	d liability partnership Limited partnership
Have you registered with the Oregon Secretary of State, Corporation Division, Business Registry? Yes No	Name and address of organizer:
If the Contractor is a limited liability company, an authorize the Contract, Performance Bond, and Payment Bond, and member is signing, then bylaws or minutes must include	d an authorization to sign shall be attached. If only one
Printed names, titles and signatures of personnel authori	zed to EXECUTE CONTRACTS:
Printed name and title	Signature
Printed name and title	Signature
Bylaws or Minutes Submitted: (Check one) Yes	□ No
Printed names, titles and signatures of personnel author	ized to EXECUTE BIDS :
Printed name and title	Signature
(Additional documentation may be requ	ired by the public contracting agency)
5 _{If doing business as a sole proprietorship, fill out to}	the following information:
Name of individual liable for all obligations of the business:	
If applicant is a sole proprietor using an assumed busine	ss name, please list name below:
Registration date:	Expires:

(a) Is there any organiz owning at least 10 p	e Corporation Division's Foizreg/index.htm)	Registration Number:	Renew	
Oregon Secretary of State (www.filinginoregon.com/b) OWNERSHIP Al (a) Is there any organiz owning at least 10 p	e Corporation Division's F bizreg/index.htm)	Registration Number:		
OWNERSHIP Al (a) Is there any organiz owning at least 10 p	oizreg/index.htm)	Registration Number:		
(a) Is there any organiz owning at least 10 p			Date:	al
owning at least 10 p	ND CONTROL (Required	()		
Yes	ercent interest in the firmess in Oregon under a	lled by the applicant, its offi m, or in which the applicar nother name? se list in space below. If no	nt was or is an officer	, director,
(b) Are there any individu		ations owning 10 percent o se list in space below. If n		
	another firm prequalified	ganization who have a finar I to bid in this or another state se list below in space provi	e?	
Individual's Name	Present Position or Office	Other Firm or Firms	Position in Other Firm(s)	State of Other Firm
	ED BUSINESS ENTER	RPRISE Dregon as a Disadvantage No	d Business Enterprise	(DBE)? (Required

9 LICENSES AND REGISTRATIONS: (Required)

Oregon Secretary of State Corporation Division - Active Business Registry No.	
www.filinginoregon.com/bizreg/index.htm Phone: (503) 986-2200	Required for Legal Business Name, Assumed Business Name (page 1B), Corps, LLCs, LLPs, and LPs. Required prior to contract execution.
Oregon Construction Contractors Board No.	
www.ccb.state.or.us Phone: (503) 378-4621	Required prior to bid opening for state-funded projects or prior to contract execution for federally-funded projects (not required for Aggregate Production or Landscaping work categories).
Oregon Business Landscape Contractors License No. and company name:	
Individual Landscape Contractor License No. and name:	(Per Work Class LS)
www.lcb.state.or.us Phone: (503) 986-6561	
Oregon Electrical Contractor License No. and company name:	(Per Work Class ELEC)
Supervisor's License No. and Name :	(
www.oregon bcd.org Building Codes Division phone: (503) 378-4133	
Oregon Plumbing Business License No. and	
company name:	
Journeyman's License No. and Name:	
Oregon Boiler/Pressure Vessel Business License No. and company name:	
www.oregon bcd.org Building Codes Division phone: (503) 378-4133	
Other License No.	
40	
BONDING TOTAL: (Required)	
Indicate the total amount of work, expressed i	n dollars, for which the applicant can be bonded at one time:
\$	
11 BID AND PERFORMANCE SURETY E	SONDS: (Required)
	ification is sought require bid and performance bonds, the applicant e, address and telephone number of the surety company .
Agent's name: Agent's address: Agent's telephone #:	

12 SUPPLEMENTAL QUESTIONS:(Required)

A) Have you ever been der (Check	· —	any state, local	or federal agency in this or any other state? If yes, please attach an explanation.	
B) Have you ever been on their state under any			y any state, local or federal agency in this or an	ıy
(Check	one) Yes	No	If yes, please attach an explanation.	
C) Has any officer or partner under a different nam		applied for pred	ualification with the public contracting agency	
(Check		No	If yes, please attach an explanation.	
D) Has the applicant e (Check		e a state, local o	or federal public improvement (works) contract? If yes, please attach an explanation.	,
E) Has any officer or p (Check		nt ever been fou	and in breach of a local, state or federal contract If yes, please attach an explanation.	:t?
to have violated any state Bacon and related Acts a	or federal prevailing and ORS 279.352 et.	wage statute o seq.) in any Fir	ortner, agent or employee of applicant been for r regulation (including the federal Davis- nal Order of the Oregon Bureau of Labor and urt of competent jurisdiction?	und
(Check	one) Yes	No		
(a) the circumsta	ances behind any vio amount(s) have now t	lation, including	n this occurred and explain in detail : g the amount(s) not paid	

(d) all efforts undertaken to ensure that future violations will not occur

13 CLASSES OF WORK(Required)

Fill in the classes of work on which you wish to bid. Classes of work include, but are not limited to, work listed in parentheses. If more space is required, attach additional sheets.

For Each Class of Work:

- A. Enter the maximum dollar amount of work applicant is capable of performing with its own workforces.
- B. Enter the maximum dollar amount of work applicant is qualified to undertake in other states with its own workforces.
- C. Enter the state(s) where applicant is qualified for the amount shown in column B.
- D. Enter the number of years of experience in this class of work.

(Highways, Roads, Streets)

Class	A. Max dollar Amount (Required)	B. Qualified Dollar Amount	C. State(s) Experience	D. Years Experience
(AB) Aggregate Bases				
(ACP) Asphalt Concrete Paving and Oiling (Paving, Chip Sealing, Crack Sealing, Slurry Sealing, Fog Sealing)				
(REIN) Bridges and Structures (Concrete, Steel, and Timber Bridges, Retaining Walls and Soundwalls; Seismic Retrofit; Box Culverts; Structural Plate Pipe, and Pipe Arches)				
(BLD1) Buildings (Toilets, Bathhouses, Maintenance, Sand Sheds)				
(EART) Earthwork and Drainage (Clearing, Earthwork, Blasting, Riprap, Culverts, Manholes, Inlets, Storm Sewers, Sanitary Systems)				
(ELEC) Electrical (Traffic Signals, Illumination, Ramp Meters, Roadway Weather Information Systems (RWIS), Variable Message Signs (VMS), Traffic Cameras)	(Please enter elec. license			
(LS) Landscaping (Roadside Seeding, Lawns, Shrubs, Trees, Irrigation Systems, Topsoil, Temporary and Permanent Erosion Control)	number in Section 9)			
(MHA) Miscellaneous Highway Appurtenances (Guardrail, Barrier, Curbs, Walks, Fences, Protective Screening, Impact Attenuators, Cold Plane Pavement Removal, Rumble Strips)	(Please enter landscape license number in Sec. 9)			
(PAI1) Painting (Bridges and Buildings)				

Class	A. Max dollar Amount (Required)	B. Qualified Dollar Amount	C. State(s) Experience	D. Years Experience
(PAVE) Pavement Markings (Permanent - Painted, Durable, Markers, Delineators)				
(PCP) Portland Cement Concrete Paving				
(AC) Rock Production (Aggregate Crushing, Sanding Rock)				
(SIGN) Signing (Permanent)				
(TTC) Temporary Traffic Control (All Temporary Traffic Control Items Including Flaggers and Pilot Cars)				
(OTH1) Other, (List specific class)				

14 EQUIPMENT, FACILITIES, AND PLANTS(Required)

(A) Equipment owned by the applicant: List only major items. Lump together small equipment and tools. **Attachments are acceptable if all required information is included**.

Quantity, Description and Capacity of I	tems Age in Years	Condition of Equipment
(B) Total market value of equipment:	3	
(Required) (C) Does applicant intend to rent equipment (Required)	nt? Yes No If ye	es, provide a general description:
*(D) Production Facility or Plant owned by a (hot mix surface treatment, portable, stone		☐ No If yes, complete below:
*(Required) Description	Location	Capacity

^{*} ODOT's acceptance of this prequalification does not imply ODOT's approval as material sources for ODOT projects

1. Agency or Company Name (Name, address and	phone w/area code):			
Name of Project and Location of Work:				
Class of Work*:	Contract Amount:	Date of Completion (if completed)		
☐ Prime or ☐ Sub Surety Compa	ny if Project Bonded			
* SEE CLASSES OF WORK IN SECTION 13.				
2. Agency or Company Name (Name, address and	phone w/area code):			
Name of Project and Location of Work:				
Class of Work*:	Contract Amount:	Date of Completion (if completed)		
Prime or Sub Surety Compa	ny if Project Bonded			
* SEE CLASSES OF WORK IN SECTION 13.				
3. Agency or Company Name (Name, address and	phone w/area code):			
Name of Project and Location of Work:				
Class of Work*:	Contract Amount:	Date of Completion (if completed)		
Prime or Sub Surety Company if Project Bonded				
* SEE CLASSES OF WORK IN SECTION 13.				
4. Agency or Company Name (Name, address and	phone w/area code):			
Name of Project and Location of Work:				
Class of Work*:	Contract Amount:	Date of Completion (if completed)		
☐ Prime or ☐ Sub Surety Compa	ny if Project Bonded			

^{*} SEE CLASSES OF WORK IN SECTION 13.

15 <u>EXPERIENCE</u>(Required)

1. Agency or Company Name (Name, address and phone w/are	a code):			
Name of Project and Location of Work:				
Class of Work*:	Contract Amount:	Date of Completion (if completed)		
Prime or Sub Surety Company if Project	ect Bonded			
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Prime or Sub Surety Company if	Project Bonded	
* SEE CLASSES OF WORK IN SECTION 13.		
3. Agency or Company Name (Name, address and phon	e w/area code):	
Name of Project and Location of Work:		
Class of Work*:	Contract Amount:	Date of Completion (if completed)
☐ Prime or ☐ Sub Surety Company if	Project Bonded	
* SEE CLASSES OF WORK IN SECTION 13.		
4. Agency or Company Name (Name, address and phon	e w/area code):	
Name of Project and Location of Work:		
Class of Work*:	Contract Amount:	Date of Completion (if completed)
☐ Prime or ☐ Sub Surety Company if	Project Bonded	

^{*} SEE CLASSES OF WORK IN SECTION 13.

	in construction work has application		
As a prime contractor?		As a subcontractor?	
7 EXPERIENCE(Required) - Co	ontinued		
	rience of all owners, officers, p ner individuals or organizations th (Attach additional sheets, i	at control or influence	
Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and Type o
prequalification statements. E than the applicant, including the	used for general remarks and exp xplain here any claimed experienc nat of any business entity which v	e of a business orga	nization or entity other
prequalification statements. E than the applicant, including the	xplain here any claimed experience	e of a business orga	nization or entity other
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prequalification statements. E	xplain here any claimed experience	e of a business orga	nization or entity other

16 <u>EXPERIENCE</u>(Required) - Continued

19 AFFIDAVIT(Re	quired)		
STATE OF)		
County of	ss)		
I,			being first sworn, state that I am
deceptive or fraudulent and may subject me to applicant's ability to ca notice of such change	charges of false swearing rry out any project for what to the designated officer	acts) this application or at a hearing or perjury; should to the applicant desires to whom this application application.	ant herein and that the statements made in on are true and I acknowledge that any false, and will result in the denial of prequalification here be any subsequent material reduction in a submit a bid; applicant will give written ion is submitted at least ten days prior to the eligibility of applicant to submit the bid.
(Original Signature of Indiv	ridual Authorized to Execute	Bids and Contracts)	
Subscribed and sworn	to before me this	day of	, Year
Notary S or Stam			Original Notary Public Signature
	My	commission expires:	